



STANFORD

Lecture 15

MAC Design by Weighted Sums

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Announcements & Agenda

■ Announcements

- PS7 - Final homework, due June 5.
- Section 5.4 continued

Final Exam:

2-week homework extension to 6/6
continuous 25-hour period starting 6/7
tell me preference.

■ Agenda

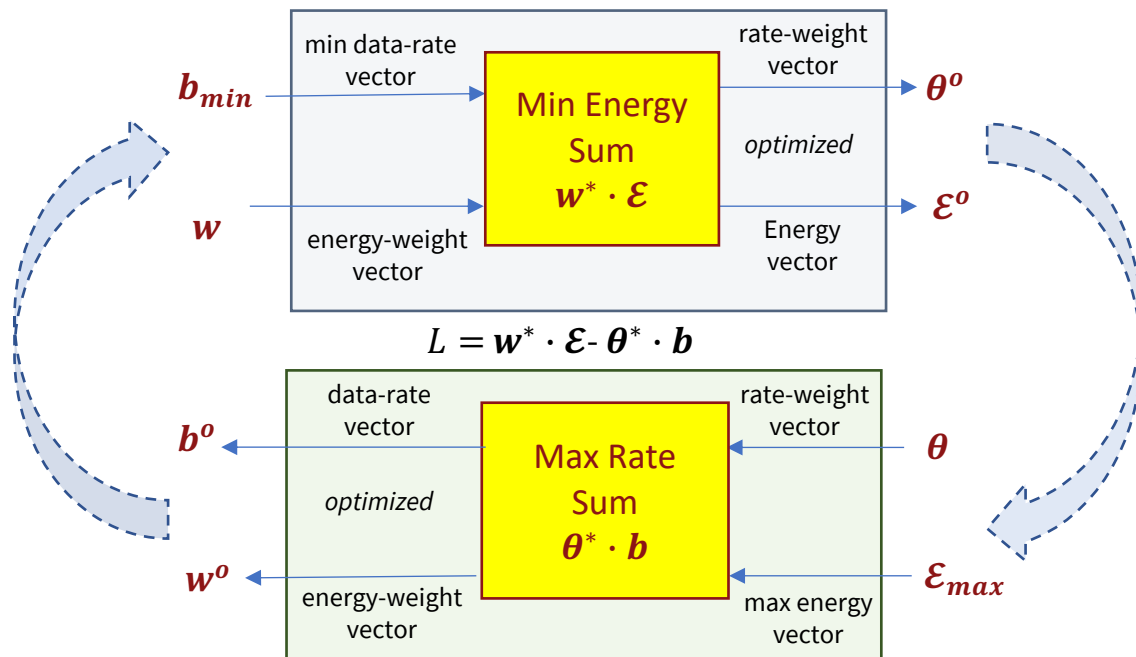
- Designs with Weighted Sums
- Maximum rate sum solution (maxRMAC)
- Minimum energy-sum solution (minPMAC)
- MAC Design: Specify rate and energy vectors (admMAC)



Designs with weighted sums

Section 5.4.3

Multiuser Optimization



- Design for rates and/or energy assignments that are feasible $\mathbf{b}' \in \mathcal{C}(\mathbf{b})$?



Tonal Lagrangian

- Minimize (over $R_{\mathbf{X}\mathbf{X}}(u)$) weighted sum at any given (think temporary) $\boldsymbol{\theta}$ where \mathbf{b} and \mathbf{w} are the specified values:

$$L(R_{\mathbf{X}\mathbf{X}}, \mathbf{b}, \mathbf{w}, \boldsymbol{\theta}) = \sum_{n=0}^{\bar{N}-1} \left\{ \underbrace{\sum_{u=1}^U \left[w_u \cdot \text{trace} \{ R_{\mathbf{X}\mathbf{X}}(u, n) \} - \sum_{u=1}^U \theta_u \cdot b_{u,n} \right]}_{L_n(R_{\mathbf{X}\mathbf{X}}(n), \mathbf{b}_n, \mathbf{w}, \boldsymbol{\theta})} \right\} + \theta_u \cdot b_u ,$$

With fixed $\boldsymbol{\theta} \geq \mathbf{0}$
each tone can
be individually
minimized

- which produces then for tone n :

$$L_{min}(\boldsymbol{\theta}, n) \triangleq \min_{\{R_{\mathbf{X}\mathbf{X}}(u, n)\}, b_{u,n}} L_n(R_{\mathbf{X}\mathbf{X}}(n), \mathbf{b}_n, \mathbf{w}, \boldsymbol{\theta}) .$$

- Then, max over $\boldsymbol{\theta}$

$$L^* = \max_{\boldsymbol{\theta}} \sum_{n=0}^{\bar{N}-1} L_{min}(\boldsymbol{\theta}, n) \triangleq \max_{\boldsymbol{\theta}} L_{min}(\boldsymbol{\theta}) ,$$

- and satisfy tonal GDFE (achievable region) constraint

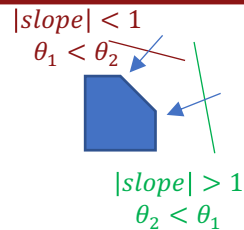
$$\mathbf{b}_n \in \left\{ \mathbf{b}_n \mid 0 \leq \sum_{\mathbf{u} \subseteq U} b_{\mathbf{u},n} \leq \log_2 \left| \left(\sum_{u=1}^U \tilde{H}_{u,n} \cdot R_{\mathbf{X}\mathbf{X}}(u, n) \cdot \tilde{H}_{u,n}^* \right) + I \right| \right\} = \mathcal{A}_n (\{R_{\mathbf{X}\mathbf{X}}(n)\}, \bar{H}_n).$$

(5.2)



The tonal achievable-region constraint

- Maximum $\sum_{u=1}^U \theta_u \cdot b_{u,n}$ occurs at $\mathcal{A}_n(R_{\mathbf{X}\mathbf{X}}(u, n), \mathbf{b}_n)$ vertex (think slope -1 line and pentagon),
 - Given $R_{\mathbf{X}\mathbf{X}}(u, n) \rightarrow R_{\mathbf{X}\mathbf{X}}(u)$; equivalently max $\sum_{u=1}^U \theta_u \cdot b_u$ occurs at $\mathcal{A}(R_{\mathbf{X}\mathbf{X}}(u), \mathbf{b})$ vertex.
- That max-weighted-sum vertex has specific $\boldsymbol{\theta}$, with $\theta_{\pi^{-1}(U)} \geq \theta_{\pi^{-1}(U-1)} \geq \dots \geq \theta_{\pi^{-1}(1)}$.
 - Proof see Theorem 5.4.1, and also discussion in Sec 5.4.4.1. (Same order all tones)
 - Alternative to testing all orders – optimum order is inferred from the (converged) real vector θ .
- The user data rates in $\mathcal{A}_n(R_{\mathbf{X}\mathbf{X}}(u, n), \mathbf{b}_n)$ must satisfy the (sum of) **tonal-GDFE constraint(s)**:



$$b_{u,n} = \log_2 \left\{ \frac{|R_{yy}(u, n)|}{|R_{yy}(u-1, n)|} \right\} = \log_2 \left| \sum_{i=1}^u \tilde{H}_{\pi^{-1}(i), n} \cdot R_{\mathbf{X}\mathbf{X}}(\pi^{-1}(i), n) \cdot \tilde{H}_{\pi^{-1}(i), n}^* + I \right| - \log_2 \left| \sum_{i=1}^{u-1} \tilde{H}_{\pi^{-1}(i), n} \cdot R_{\mathbf{X}\mathbf{X}}(\pi^{-1}(i), n) \cdot \tilde{H}_{\pi^{-1}(i), n}^* + I \right|$$

- For given $\boldsymbol{\theta}$, min weighted rate sum over $R_{\mathbf{X}\mathbf{X}}(u, n)$ maximizes the convex sum:

$$\sum_{u=1}^U \theta_u \cdot b_{u,n} = \sum_{u=1}^U \left\{ \underbrace{[\theta_{\pi^{-1}(u)} - \theta_{\pi^{-1}(u+1)}]}_{\delta_{\pi^{-1}(u)} \leq 0} \cdot \log_2 \left| \sum_{i=u}^U \tilde{H}_{\pi^{-1}(i), n} \cdot R_{\mathbf{X}\mathbf{X}}(\pi^{-1}(i), n) \cdot \tilde{H}_{\pi^{-1}(i), n}^* + I \right| \right\}.$$



Equal Theta

- Successive equal theta values
 - can happen, often!
 - This usually happens when there are secondary user components.
- The corresponding rate-sum difference term(s) is (are) zero.
- Only the sum rate of the corresponding users can be varied $b_{\pi^{-1}(u)} + b_{\pi^{-1}(u)+1}$ is optimized.
- The corresponding vertices for swapping the order (more generally varying when 3 or more) need to be “vertex-shared” in a proportion that causes the desired data rate to be achieved.
- Complicated program in matlab to do this, although concept is straightforward.

Coming Attraction: The Stanford minPMAC program(s)



Maximum rate-sum solution (maxRMAC)

Section 5.4.4

Maximize weighted rate sum

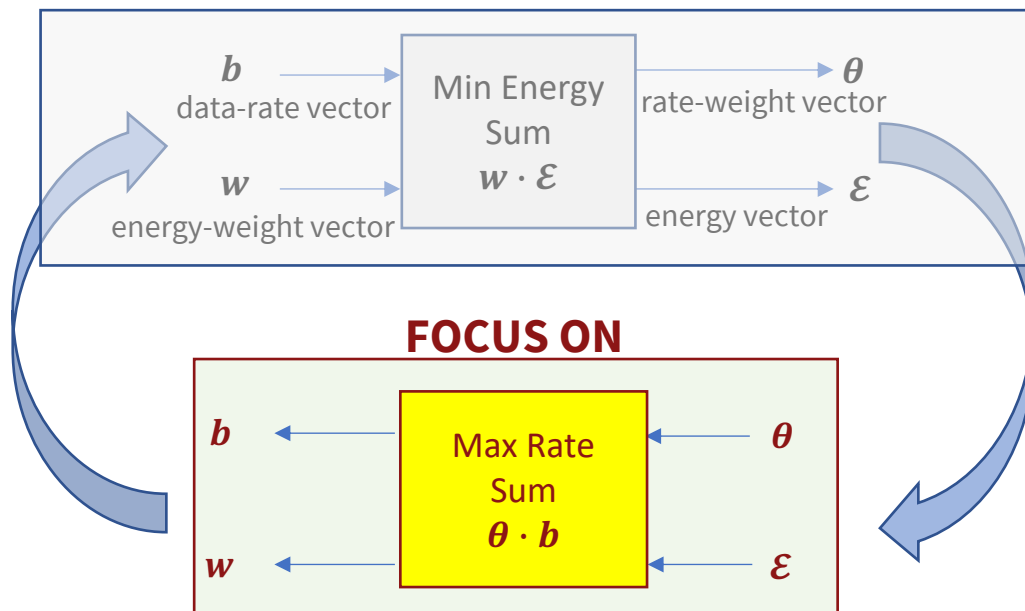
■ **Maximize weighted rate sum** b , given \mathcal{E} , with

- no rate limit and
- given rate weights $\theta \geq \mathbf{0}$, (non-negative).
 - How important relatively are the users?
 - Given some energy/power budget.

$$\max_{\{R\mathbf{x}\mathbf{x}(u)\}} \sum_{u=1}^U \theta_u \cdot b_u$$

$$ST: \mathcal{E}\mathbf{x} \preceq [\mathcal{E}_{1,max} \ \mathcal{E}_{2,max} \ \dots \ \mathcal{E}_{U,max}]^* = \mathcal{E}_{max}^* \succeq \mathbf{0}$$

$$\mathcal{E} \succeq \mathbf{0} .$$



maxRMAC_cvx

function [Eun, w, bun] = maxRMAC_cvx(H, Eu, theta, cb)

inputs

H is the channel tensor $L_y \times U \times \bar{N}$
Eu is the given $1 \times U$ energy vector \mathcal{E}
theta is the rate weight vector θ
cb=1 cplx, =2 real

outputs

Eun is the $U \times \bar{N}$ matrix containing $\mathcal{E}_{u,n}$
(does not handle MAC $L_x > 1$)
w is the energy-weight vector **w**
bun is the $U \times \bar{N}$ bit matrix containing $b_{u,n}$
(Eun is related to **bun** directly, fixed theta)

There is also a non-cvx version that runs faster,
but can deviate by 1-2% from CVX accuracy.
The **w** weights often are scaled versions of one another.

```
> H=zeros(1,2,1);  
>> H(1,1,1)=80;  
H(1,2,1)=60;  
>> Eu=[1 1];  
>> theta=[1 1];  
>> cb=2;  
>> [Eun, w, bun] = maxRMAC_cvx(H, Eu, theta,cb)
```

```
Eun =  
1.0000  
1.0000
```

```
w =  
0.6394  
0.3597
```

```
bun =  
6.3220  
0.3219
```

```
>> sum(bun,2)' = 6.3220 0.3219
```

```
>> sum(bun,'all') = 6.6439
```

```
>> [Eun, w, bun] = maxRMAC_cvx(H, Eu, theta, 1);
```

```
>> Eun' = 1.0000 1.0000
```

```
>> w' = 1.2789 0.7194
```

```
>> bun = 11.6443 0.6437
```

```
>> sum(bun) = 12.2880
```

If N=1 and complex bb,
maxRMAC adjusts energy.
Otherwise, use even N>1
if cb=2 (real bb)

Always trade
dimensions for energy



maxRMACMIMO

- Runs slower, but allows variable number of transmit antennas per user: L_{xu} (also uses CVX),
- Also has an R_{xxs} output, for which $\text{trace}\{R_{xxs}(u)\}$ is E_u .

```
[Rxxs, Eun, w, bun] = maxRMACMIMO(H, [1 1], Eu, theta, 1)
```

```
Rxxs = 2x1 cell array
```

```
{[1.0000]}
```

```
{[1.0000]}
```

```
Eun =
```

```
1.0000
```

```
1.0000
```

```
w =
```

```
1.2789
```

```
0.7194
```

```
bun =
```

```
11.6443
```

```
0.6437
```

```
sum(bun) = 12.2880
```



MIMO Examples

```
>> H2 =  
 4 3 2 1  
 5 6 7 8  
[Rxxs, Eun, w, bun] = maxRMACMIMO(H2, [2 2], [5 6], [1 1], 1)  
Rxxs = 2x1 cell array  
Eun =  
 5.0000  
 6.0000  
W' = 0.3881 0.3275  
bun =  
 7.6484  
 5.8337  
>> Rxxs{:,;}  
= 3.5983 2.2458  
 2.2458 1.4017  
= 1.6863 2.6971  
 2.6971 4.3137
```

Both users are
MIMO

```
[Rxxs, Eun, w, bun] = maxRMACMIMO(H2(:,1:3), [2 1], [5 6], [1 1], 1)  
Rxxs = 2x1 cell array  
Eun =  
 5.0000  
 6.0000  
W' = 0.3811 0.3144  
bun =  
 7.5867  
 4.1392  
>> Rxxs{:,;}  
=  
 3.9149 2.0611  
 2.0611 1.0851  
=  
 6.0000
```

One user is
MIMO

- The second antenna on user 1 helps both users' data rates. Why?



Vector DMT Examples

```
>> Eu = [ 1 1];
>> theta = [ 1 1];
> H4
H4(:,1) = 80 60
H4(:,2) = 80 60
H4(:,3) = 80 60
H4(:,4) = 80 60
[Eun, w, bun] = maxRMAC_cvx(H4, 4*Eu', theta', 1)
Eun =
    1.0000    1.0000    1.0000    1.0000
    1.0000    1.0000    1.0000    1.0000
w' = 0.6396 0.3598
bun =
    12.6441    12.6441    12.6441    12.6441
     0.6438    0.6438    0.6438    0.6438
>> [Eun, w, bun] = maxRMAC_cvx(H4, 2*Eu', theta', 2)
Eun =
    1.0000    1.0000
    1.0000    1.0000
w' = 0.6396 0.3598
bun =
     6.3220     6.3220
     0.3219     0.3219
>> sum(bun, 'all') = 13.2879
>> [Rxx, bsum, bsum_lin] = SWF([1 1], H4(:,1:2), [1 1], ones(1,1,2), 2);
Rxx(:,1) =     Rxx(:,2) =
     1     0         1     0
     0     1         0     1
bsum = 13.2879
bsum_lin = 2.1174
```

Linear substantially less- why?

```
>> H4x=zeros(1,2,4);
>> H4x(:,1)=[80 60];
>> H4x(:,2)=[40 30];
>> H4x(:,3)=[50 50];
>> H4x(:,4)=[30 40];
>> [Eun, w, bun] = maxRMAC_cvx(H4x, 4*Eu, theta, 1)
```

Not Hermitian
symmetric

```
Eun = 1.9984 1.9978 0.0037 0.0000
      0.0000 0.0000 1.9980 2.0020
```

```
w' = 0.5004 0.4995
```

```
bun = 13.6428 11.6427 3.3711 0.0023
      0.0000 0.0000 8.9181 11.6435
```

```
sum(bun'*theta') = 49.2206
```

```
>> [Rxx, bsum, bsum_lin] = SWF(Eu, H4x(:,1:4), [1 1], ones(1,1,4), 1)
```

```
Rxx(:,1) = 2.0001 0
           0 0
```

```
Rxx(:,2) = 1.9996 0
           0 0
```

```
Rxx(:,3) = 0.0003 0
           0 2.0000
```

```
Rxx(:,4) = 0 0
           0 2.0000
```

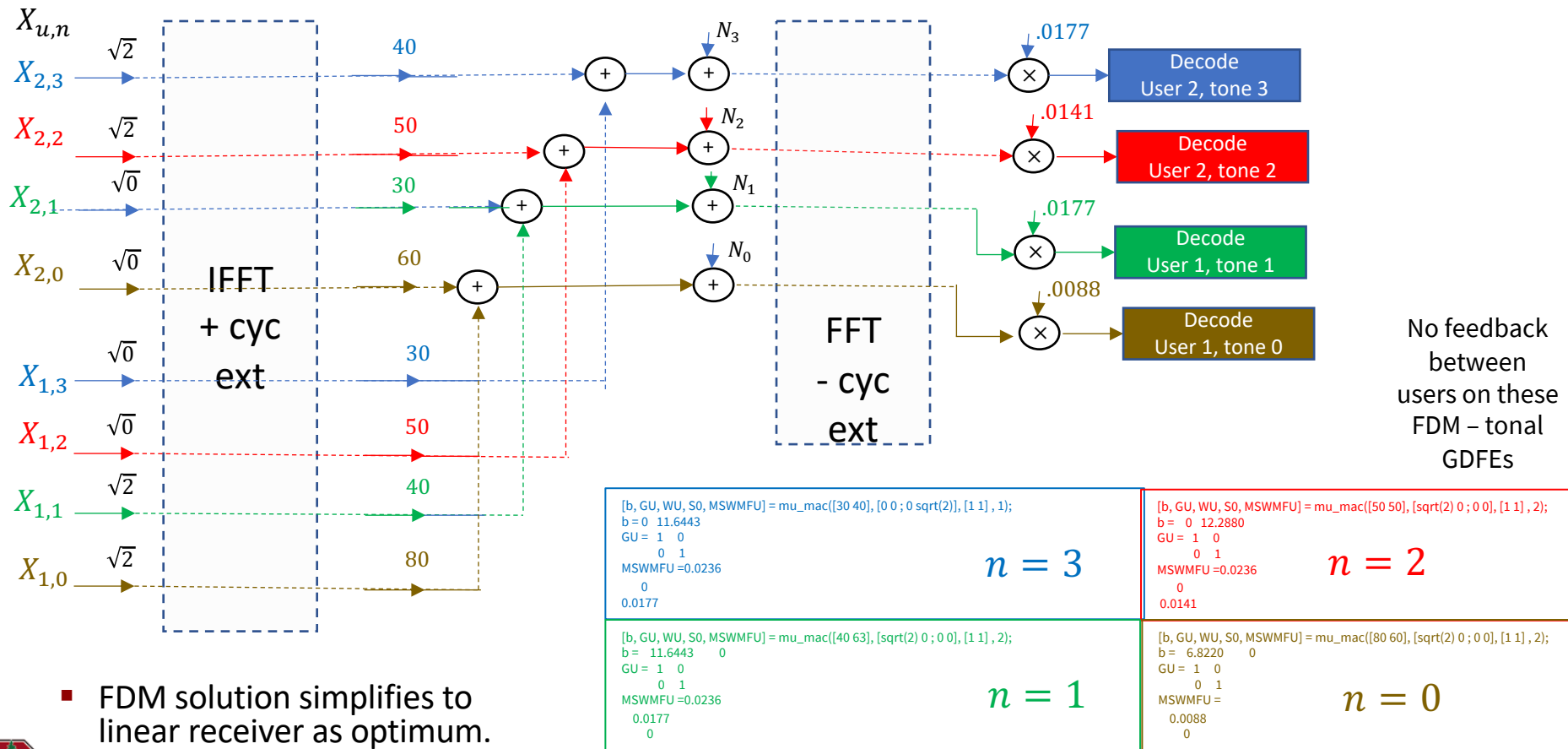
```
bsum = 49.2206
```

```
bsum_lin = 48.4228
```

Linear is close - why?



System diagram for previous example



- FDM solution simplifies to linear receiver as optimum.



maxRESMAC – only energy-sum constraint

▪ function [Eun, w, bun] = maxRESMAC(H, Etotal, theta, cb)

• inputs

H is the channel tensor $L_y \times U \times \bar{N}$
Etotal is the total energy sum \mathcal{E}
theta is the rate weight vector θ
cb = 1 cplx, = 2 real

• outputs

Eun is the $U \times \bar{N}$ matrix containing $\mathcal{E}_{u,n}$
(does not handle MAC $L_x > 1$)
w is the order/rate vector \mathbf{w}
bun is the $U \times \bar{N}$ bit matrix containing $b_{u,n}$

```
[Eun, w, bun] = maxRESMAC(H4x, 8, [1 1]', 1)
```

```
Eun =  
 2.0000  1.9996 -0.0000  0.0000  
 0.0000  0.0000  2.0007  1.9997  
w =  0.4998  0.4998  
bun =  
 13.6439  11.6440 -0.0000  0.0005  
 0.0000  0.0000  12.2885  11.6436  
>> sum(bun,'all') = 49.2206  
>> sum(Eun,'all') = 8.0000  
>> sum(bun') = 25.2884  23.9322  
  
>> [Rxx, bsum, bsum_lin] = SWF(Eun, H4x(:, :, 1:4), [1 1], ones(1,1,4), 1);  
  
Rxx(:, :, 1) =      Rxx(:, :, 3) =  
 2.0001  0          0.0003  0  
 0  0          0  2.0000  
Rxx(:, :, 2) =      Rxx(:, :, 4) =  
 1.9996  0          0  0  
 0  0          0  2.0000  
bsum = 49.2206  
bsum_lin = 48.4228
```

▪ Also [Rxxs, Eun, w, bun] = maxRESMACMIMO(H, Lxu, Etotal, theta, cb)



Minimum energy-sum solution (minPMAC)

Section 5.4.4.5

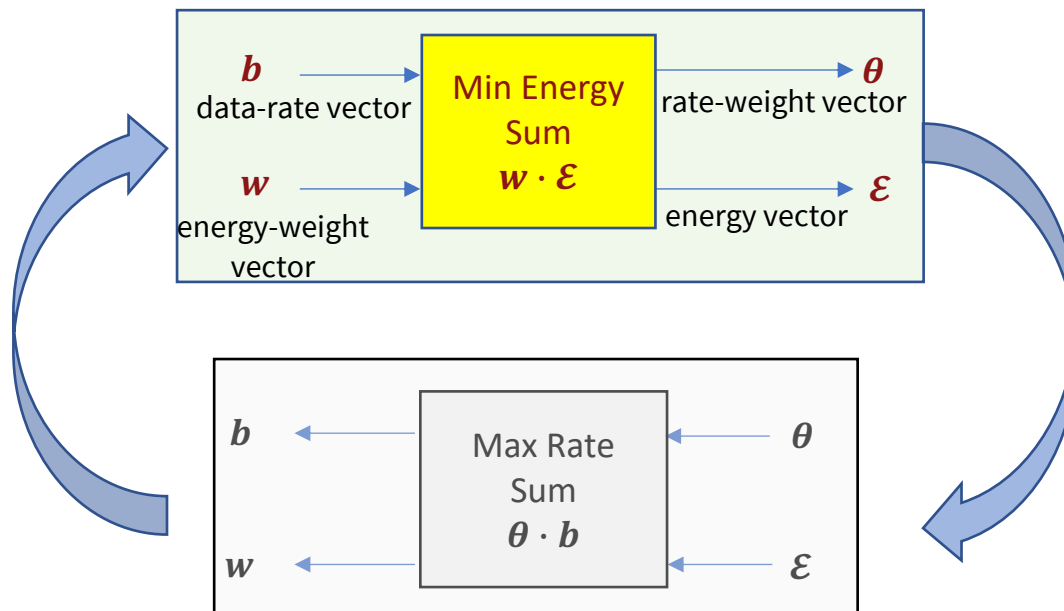
minimize weighted energy sum

Minimize weighted energy sum \mathcal{E} , given \mathbf{b} , with

- no energy limit and
- given energy weights $\mathbf{w} \succeq \mathbf{0}$, (non-negative).
 - How important relatively are the users?
 - Given some fixed desired user rates.

$$\min_{\{R_{\mathbf{x}\mathbf{x}}(u)\}} \sum_{u=1}^U w_u \cdot \underbrace{\text{trace}\{R_{\mathbf{x}\mathbf{x}}(u)\}}_{\mathcal{E}_u}$$
$$ST : \mathbf{b} \succeq [b_{1,min} \ b_{2,min} \ \dots \ b_{U,min}]^* = \mathbf{b}_{min}^* \succeq \mathbf{0}$$
$$\mathcal{E} \succeq \mathbf{0} .$$

FOCUS ON



minPMAC

- `[Eun, theta, bun, FEAS_FLAG, bu_a, info] = minPMAC(H, bu, w, cb)`

- inputs

H is the channel tensor $L_y \times U \times \bar{N}$ **Freq Domain**
bu is the given data-rate \mathbf{b}
w is the energy weight vector \mathbf{w}
cb = 1 cplx; cb = 2 real

- outputs

Eun is the $U \times \bar{N}$ matrix containing $\mathcal{E}_{u,n}$
(does not handle MAC $L_x > 1$)
theta is the order/rate vector $\boldsymbol{\theta}$
bun is the $U \times \bar{N}$ bit matrix containing $b_{u,n}$
FEAS_FLAG = 1 (one order), 2 vertex sharing
bu_a is user rate vector
Info is table of information (see examples)

- Called subroutines (6)

- minPtone, Lag_dual_f, eval_f, **hessian**, fm_waterfill_gn, start_Ellipse
- Now at web site

```
>> H=zeros(1,2,1) % dimensioning a tensor
H = 0 0
>> H(1,1,1)=80;
>> H(1,2,1)=60
H = 80 60
>> b = [3
3];
>> w = [ 1
1];
>> [Eun, theta, bun, FEAS_FLAG, bu_a, info]=minPMAC(H, b, w, 2);
Eun =
    0.6300
    0.0175
theta =
    1.2800
    1.2956
bun =
    3.0000
    3.0000
>> info.order{:}' = 1 2
>> 0.5*log2(1+(6400*Eun(1))/(1+3600*Eun(2))) = 3.0000
>> 0.5*log2(1+(3600*Eun(2))) = 3.0000
```

Decode user 1 last (best position or top)



Increase N to 4 tones

```
>> H=zeros(1,2,4);
>> H(1,1,:)=80;
>> H(1,2,:)=60;
[Eun, theta, bun, FEAS_FLAG, bu_a, info]=minPMAC(H, 4*b', w', 2)
Eun =
    0.6300    0.6300    0.6300    0.6300
    0.0175    0.0175    0.0175    0.0175
theta =
    1.2800
    1.2956
bun =
    3.0000    3.0000    3.0000    3.0000
    3.0000    3.0000    3.0000    3.0000
FEAS_FLAG = 1
bu_a' = 12.0001 12.0000
info.order{:} = 1 2
```

**Real bb, so ignore
upper-half tones that
repeat lower half.**

4 real dimensions

■ Unequal gains on tones

```
>> H4x(:,1) = [ 80 60];
>> H4x(:,2) = [ 40 30];
>> H4x(:,3) = [ 50 50];
>> H4x(:,4) = [ 30 40];
```

**No conjugate symmetry,
Must be BB complex**

```
[Eun, theta, bun, FEAS_FLAG, bu_a, info]=minPMAC(H, 5*b', w', 2)
Eun =
    5.0917    5.0917    5.0917    5.0917
    0.0500    0.0500    0.0500    0.0500
theta =
    10.2400
    10.2840
bun =
    3.7500    3.7500    3.7500    3.7500
    3.7500    3.7500    3.7500    3.7500
FEAS_FLAG = 1
bu_a' = 15.0000 15.0000
info.order{:} = 1 2
```

**Result is correct, but
energy too high, perhaps.**

```
>> [Eun, theta, bun, FEAS_FLAG, bu_a, info]=minPMAC(H4x 8*b', w', 1)
Eun =
    1.6187    1.6184    0.0008    0.0000
    0.0002    0.0000    1.6180    1.6186
theta =
    3.2380
    3.2384
bun =
    12.6610    11.3385    0.0007    0.0000
    0.6780    0.0005    11.9822    11.3391
FEAS_FLAG = 1
bu_a' = 24.0000 23.9998
>> info.order{:}' % = 1 2
```

**8 real dimensions
FDM showing again**

**Still decode user 1 last
(best position or top)
all tones, both examples,
slightly not “FDM”**



Equal Thetas

```
[Eun, theta, bun, FEAS_FLAG, bu_a, info]=minPMAC([80 80], b', w', 2)
Eun =
    0.3200
    0.3198
theta =
    1.2800
    1.2800
bun =
    0.4999
    5.5001
FEAS_FLAG = 2
bu_a =
    3.0000
    3.0000
info = 2x6 table
bu_v      Eun      bun      theta  order  frac  clusterID
0.49964   5.5004 {1x2}  {1x2}  {1x2}  {1x2}  0.49996   1
5.5000   0.49964 {1x2}  {1x2}  {1x2}  {1x2}  0.50004   1
>> info.bu_v'*info.frac =
    3.0000
    3.0000
>> info.order{:} =
    1 2
    2 1
```

Not the desired b, but sum is same.

Order switches

Split vertices 50/50 in decoder share
Transmitter with $\Gamma = 0$ dB can send
3 bits for all symbols, both users – Why?

```
>> [Eun, theta, bun, FEAS_FLAG, bu_a, info]=minPMAC([80 80 80], [5 4 3], [1 1 1], 2)
Eun = [873.8222  873.8105  873.8076]
Theta' = 1.0e+03 * [5.2429  5.2429  5.2429 ]
bun =
    0.5000
    0.2925
    11.2075
FEAS_FLAG = 2
bu_a' = 5.0000  4.0000  3.0000
3x7 table

      bu_v      Eun      bun      theta  order  frac  clusterID
11.208  0.5      0.29248 {1x3}  {1x3}  {1x3}  {1x3}  0.33511  1
0.29248 11.208  0.5      {1x3}  {1x3}  {1x3}  {1x3}  0.42492  1
0.5      0.29248 11.208  {1x3}  {1x3}  {1x3}  {1x3}  0.23998  1

>> (info.bu_v'*info.frac)' % = 5.0000  4.0000  3.0000
>> info.order{:} % =
    3 2 1
    1 3 2
    2 1 3
```

Split vertices 17/50, 21/50, 12/50 in decoder share

Or with really good code can do a “coded-GDFE” (like coded OFDM) via the separation theorem, but which user is decoded first? (I think “any”)



Single-tone channel with $U > L$

- Forces a secondary user component (equal theta for ≥ 2 user components)

```
Htemp = [ 80  40  30
          30 -50 -15];
>> [Eun, theta, bun, FEAS_FLAG, bu_a, info]=minPMAC(Htemp, [7 5 6], [1 1 1], 1);
Eun' % =  0.0560  0.0891  0.0967
theta'% = 0.1968  0.1968  0.2892

>> bu_a' =      7.0000  5.0000  6.0000
MAC User ID      3      2      1      (not same as Matlab's index)
Matlab ID        1      2      3
FEAS_FLAG =  2
bu_a' =      7.0000  5.0000  6.0000
info = 2x6 table
      bu_v      Eun      bun      theta      order      frac      clusterID
5.8731  6.1269  6 {1 x 3 dble} {1 x 3 dble} {1 x 3 dble} {1 x 3 dble} 0.58514  1
8.5895  3.4105  6 {1 x 3 dble} {1 x 3 dble} {1 x 3 dble} {1 x 3 dble} 0.41485  1

>>> info.bu_v'*info.frac = 7.0000  5.0000  6.0000

>> info.order{:} =
 1  2  3
 2  1  3
```

The text in Section 5.4.4 continues
This example to 4 tones

Also shows at lower data rates, the
vertex sharing goes away with $N > 1$,
because effectively “tone-sharing”

58/42 split for users 2 and 1, which move to top and cancel user 3
User 3 treats both users 2 and 1 as noise



Multiple Clusters

```
>> [Eun, theta, bun, FEAS_FLAG, bu_a, info]=minPMAC([80 60 80 60], [3 4 5 2], [1 1 1 1], 2)
```

```
Eun' = 1.0e+04 * 0.0001 0.0001 2.0973 2.0970
```

```
theta' = 1.0e+04 * 8.3886 8.3887 8.3886 8.3887
```

```
FEAS_FLAG = 2
```

```
>> bu_a' = 3.0000 4.0000 5.0000 2.0000
```

```
MAC User ID 2 3 4 1 (not same as Matlab's index)
```

```
Matlab ID 1 4 3 2
```

(Cluster 2 is at top – and cancels Cluster 1; Cluster 1 treats Cluster 2 as noise)

info = 4 × 6 table

bu_v	Eun	bun	theta	order	frac	clusterID
7.5017 0.49796 0.49834 5.502	{1x4 dble}	{1x4 dble}	{1x4 dble}	{1x4}	0.69995	1
7.5017 5.4983 0.49384 .50169	{1x4 dble}	{1x4 dble}	{1x4 dble}	{1x4}	0.30005	1
7.5017 0.49796 0.49384 5.502	{1x4 dble}	{1x4 dble}	{1x4 dble}	{1x4}	0.35714	2
0.50165 0.49796 7.4984 5.502	{1x4 dble}	{1x4 dble}	{1x4 dble}	{1x4}	0.64286	2

```
>> info.frac(3:4)*info.bu_v(3:4,[1,3]) = 3 5
```

```
>> info.frac(1:2)*info.bu_v(1:2,[2,4]) = 4 2
```

```
>> info.order{:} =
```

```
3 1 2 4
3 1 4 2
3 1 2 4
1 3 2 4
```

Program with # clusters > 1
Reverses order within clusters
(ok for 1 cluster, no reversal)

Cluster 2 in GDFE receiver has

User 4 with $\langle b_4 \rangle =$

$$7.5 \times .643 + 0.5 \times .357 = 3$$

User 2 with $\langle b_2 \rangle =$

$$0.5 \times .643 + 7.5 \times .357 = 5$$

Users 3 and 1 both cancelled

Cluster 1 in GDFE receiver has

User 3 with $\langle b_3 \rangle =$

$$5.5 \times .30 + 0.5 \times .70 = 4$$

User 1 with $\langle b_1 \rangle =$

$$0.5 \times .30 + 0.5 \times .70 = 2$$

Treats users 1 and 2 as noise

Encoder occurrence frequencies?

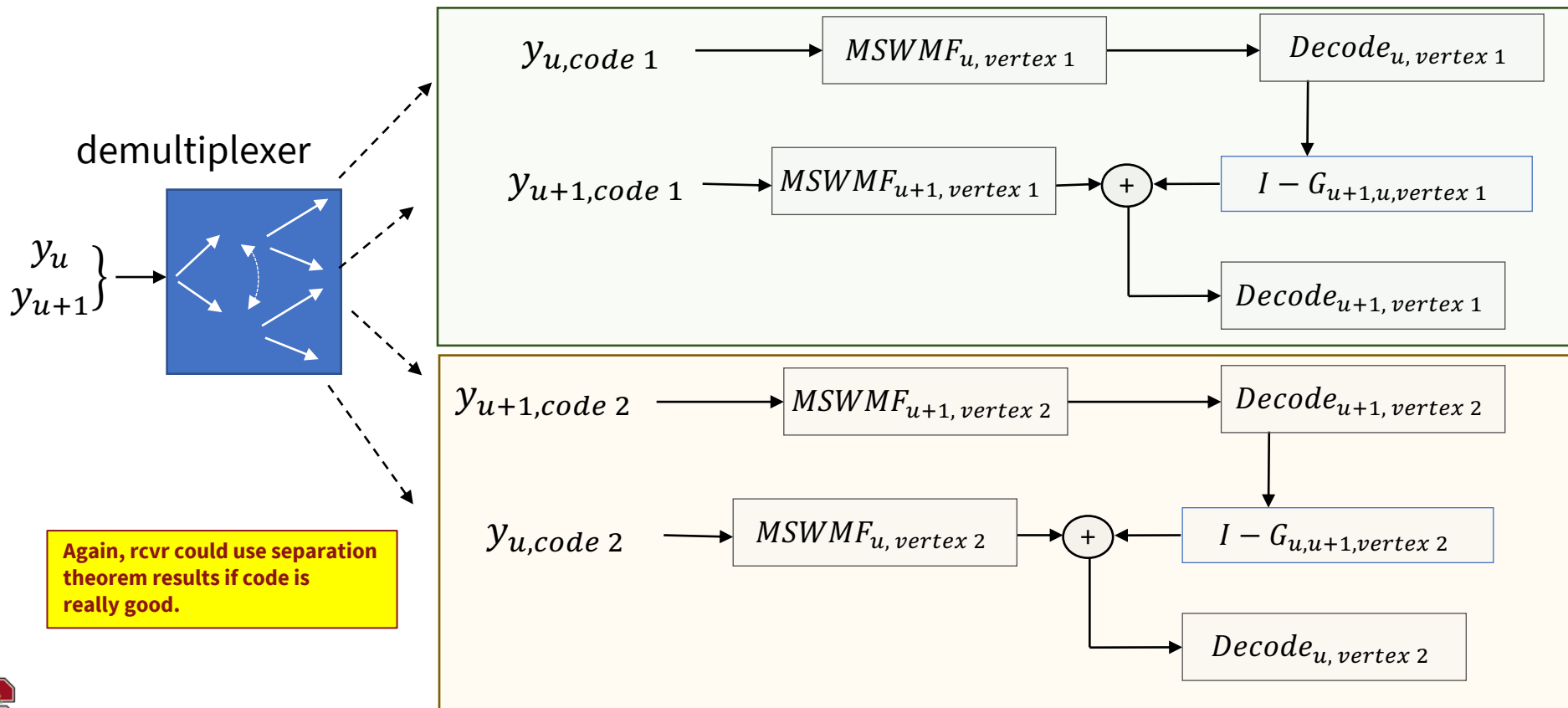
		cluster 1	
		0.7	0.3
cluster 2	0.36	.192	.108
	0.64	.448	.252

Each user has 4 encoders/decoders with roughly 4, 9, 5, and 2 turns from each 20-symbol packet transmitted in larger frame.



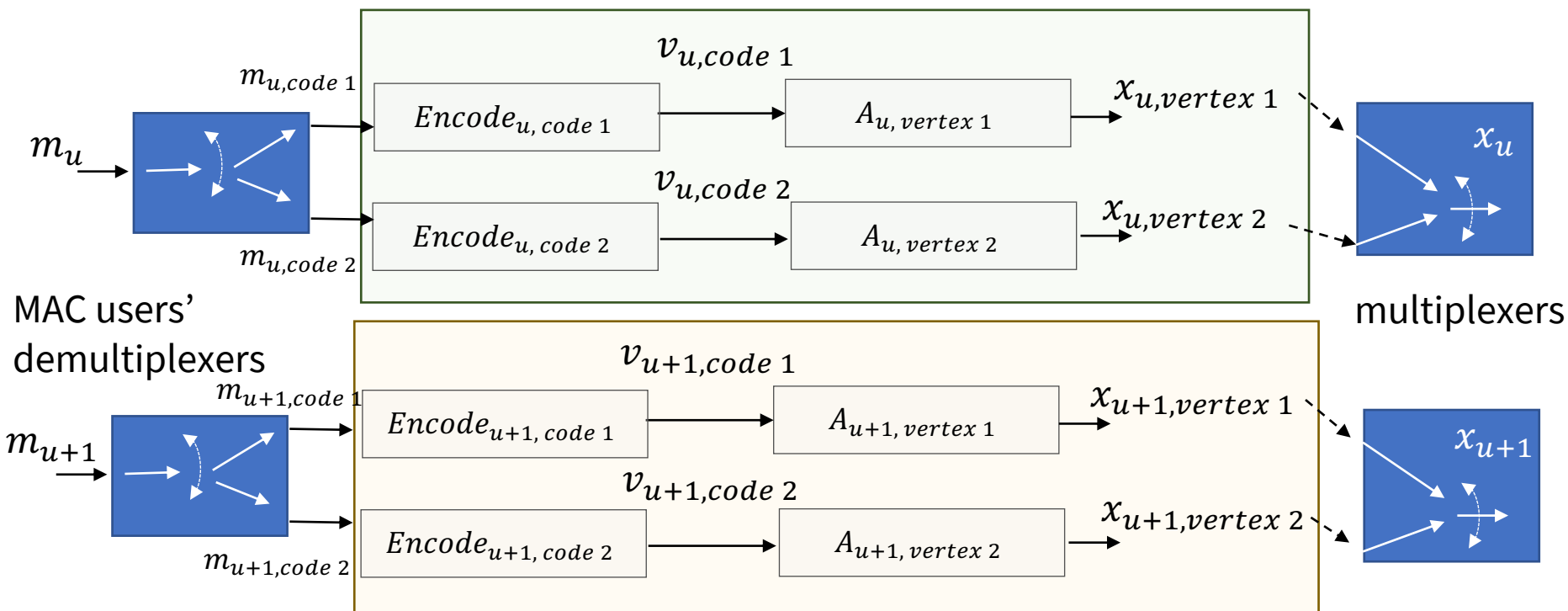
illustrate cluster receiver

- Two (U) GDFE receivers for $\text{frac}(1) \dots \text{frac}(U)$ of the received symbols



illustrate cluster encoder modulator

- Two (U) encoders/modulator transceivers for $\text{frac}(1) \dots \text{frac}(U)$ of the received symbols



Multicluster imposes packet-level synchronization so that each user encoder at any symbol time corresponds to only encoder in each and every other user's set.



64-tone example

```
h64=cat(3,[1 0 .8 ; 0 1 1],[.9 -.3 0 ; .5 -1 -1],[0 .2 0 ; .4 -.63 0],[0 0 0 ; 0 .648 0])*10;  
>> H64 = fft(h64, 64, 3);  
[Eun, theta, bun, FEAS_FLAG, bu_a, info]=minPMAC(H64, [445 412 132]', [1 1 1]',1);  
Elapsed time is 215.045054 seconds.
```

```
>> theta =
```

```
2.6917
```

```
2.6917
```

```
2.2404
```

```
FEAS_FLAG = 2
```

```
bu_a =
```

```
445.0000
```

```
412.0000
```

```
132.0000
```

	% bu_v	Eun	bun	theta	order	frac	clusterID
	445.27 411.72	132	{1x3x64}	{1x3x64}	{1x3}	{1x3}	0.99 1
	416.71 440.29	132	{1x3x64}	{1x3x64}	{1x3}	{1x3}	0.01 1

```
>> info.order{:} =
```

```
3 2 1
```

```
3 1 2
```

```
>> sum(Eun') = 65.9552 60.2757 40.4454
```

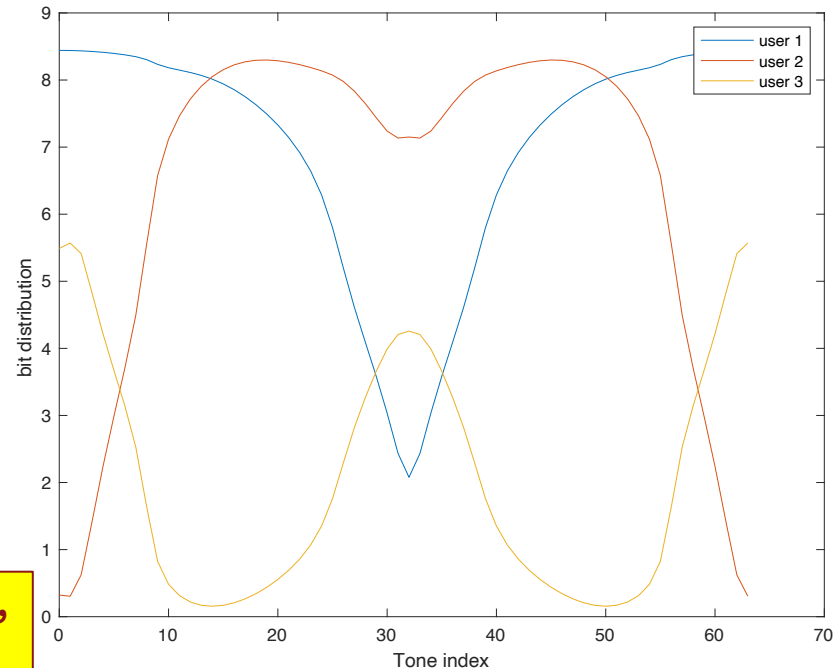
```
>> [Eun, theta, bun, FEAS_FLAG, bu_a, info]=minPMAC(H64, [445 412 132]', [3 1  
.75]',1);
```

```
>> sum(Eun') = 61.0398 58.6166 49.6402
```

```
>> bu_a = 445.0000 412.0000 132.0001
```

**The GDFE's energize all 3 users,
but some "FDM"**

- Every tone has a cluster of 2 users (3 & 2)
 - Codes for 2 and 3 occur 1/100 and 99/100 symbols
- User 1 is removed via GDFE cancellation
 - Has only 1 code (same all symbols)



Corresponding MAC Design (N = 8), HWH7

```
N=8;
U=3;
Ly=2;
cb=1;
Lxu=[1 1];
bsum=zeros(1,N);
H8 = fft(h, N, 3);
[Eun, theta, bun, FEAS_FLAG, bu_a, info]=minPMAC(H8, [54 51 16]', [1 1 1]', 1)
```

```
GU=zeros(U,U,N);
WU=zeros(U,U,N);
S0=zeros(U,U,N);
Bu=zeros(U,N);
MSWMFU=zeros(U,Ly,N);
AU=zeros(3,3,N);
```

```
for n=1:N
    AU(:,:,n)=sqrtm(diag(Eun(:,n)));
end
```

```
for n=1:N
    [Bu(:,n), GU(:, :, n), WU(:, :, n), S0(:, :, n), MSWMFU(:, :, n)] = ...
    mu_mac(H(:, :, n), AU(:, :, n), Lxu, cb);
end
bvec=sum(Bu');
Bsum(index) = sum(bvec);
```

```
>> FEAS_FLAG = 1
>> bvec = 54.7544 51.8108 16.1050
>> bu_a = 54.0000 51.0000 16.0000
```

**Design commands,
Divide by 8**

**Did not take 8/9 of
energy because that is
outside the minPMAC process**

But Eun x 9/8 is actual energy

8 Feedback Sections

```
>> GU
GU(:, :, 1) = 1.0e+04 *
    0.0001 + 0.0000i -0.0000 + 0.0000i 0.0000 + 0.0000i
    0.0000 + 0.0000i 0.0001 + 0.0000i -1.7799 + 0.0000i
    0.0000 + 0.0000i 0.0000 + 0.0000i 0.0001 + 0.0000i
GU(:, :, 2) =
    1.0000 + 0.0000i -0.2004 + 0.0132i 0.1823 + 0.2030i
    0.0000 + 0.0000i 1.0000 + 0.0000i 1.3125 - 0.1839i
    0.0000 + 0.0000i 0.0000 + 0.0000i 1.0000 + 0.0000i
GU(:, :, 3) =
    1.0000 + 0.0000i -0.8690 + 0.1232i -0.0108 + 0.0889i
    0.0000 + 0.0000i 1.0000 + 0.0000i 0.1887 + 0.0287i
    0.0000 + 0.0000i 0.0000 + 0.0000i 1.0000 + 0.0000i
GU(:, :, 4) =
    1.0000 + 0.0000i -1.7737 + 0.5843i -0.4677 + 0.3006i
    0.0000 + 0.0000i 1.0000 + 0.0000i 0.8075 + 0.5128i
    0.0000 + 0.0000i 0.0000 + 0.0000i 1.0000 + 0.0000i
GU(:, :, 5) = 1.0e+03 *
    0.0010 + 0.0000i -1.7618 + 0.0000i -9.6891 + 0.0000i
    0.0000 + 0.0000i 0.0010 + 0.0000i 0.0024 + 0.0000i
    0.0000 + 0.0000i 0.0000 + 0.0000i 0.0010 + 0.0000i
GU(:, :, 6) =
    1.0000 + 0.0000i -1.7737 - 0.5843i -0.4677 - 0.3006i
    0.0000 + 0.0000i 1.0000 + 0.0000i 0.8075 - 0.5128i
    0.0000 + 0.0000i 0.0000 + 0.0000i 1.0000 + 0.0000i
GU(:, :, 7) =
    1.0000 + 0.0000i -0.8690 - 0.1232i -0.0108 - 0.0889i
    0.0000 + 0.0000i 1.0000 + 0.0000i 0.1887 - 0.0287i
    0.0000 + 0.0000i 0.0000 + 0.0000i 1.0000 + 0.0000i
GU(:, :, 8) =
    1.0000 + 0.0000i -0.2004 - 0.0132i 0.1823 - 0.2030i
    0.0000 + 0.0000i 1.0000 + 0.0000i 1.3125 + 0.1839i
    0.0000 + 0.0000i 0.0000 + 0.0000i 1.0000 + 0.0000i
```

8 Feedforward Sections

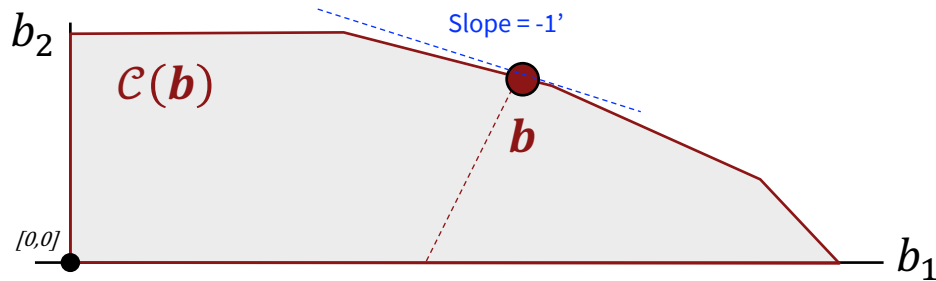
```
>> MSWMFU
MSWMFU(:, :, 1) = 1.0e+03 *
    0.0000 + 0.0000i 0.0000 + 0.0000i
    -2.2006 + 0.0000i 4.6158 + 0.0000i
    0.0001 + 0.0000i -0.0003 + 0.0000i
MSWMFU(:, :, 2) =
    0.0397 + 0.0155i 0.0086 + 0.0183i
    0.0584 + 0.0483i -0.0191 - 0.1594i
    0.0481 + 0.0390i 0.0017 - 0.1107i
MSWMFU(:, :, 3) =
    0.0406 + 0.0365i -0.0162 + 0.0203i
    0.0135 + 0.0147i 0.0294 - 0.0304i
    0.2279 + 0.0713i 0.0673 - 0.1243i
MSWMFU(:, :, 4) =
    0.0666 + 0.1166i -0.0648 - 0.0085i
    0.0123 + 0.0189i 0.0479 + 0.0042i
    0.0819 + 0.0380i 0.0158 - 0.0243i
MSWMFU(:, :, 5) = 1.0e+02 *
    7.7990 + 0.0000i -7.7990 + 0.0000i
    0.0006 + 0.0000i 0.0009 + 0.0000i
    -0.0013 + 0.0000i 0.0010 + 0.0000i
MSWMFU(:, :, 6) =
    0.0666 - 0.1166i -0.0648 + 0.0085i
    0.0123 - 0.0189i 0.0479 - 0.0042i
    0.0819 - 0.0380i 0.0158 + 0.0243i
MSWMFU(:, :, 7) =
    0.0406 - 0.0365i -0.0162 - 0.0203i
    0.0135 - 0.0147i 0.0294 + 0.0304i
    0.2279 - 0.0713i 0.0673 + 0.1243i
MSWMFU(:, :, 8) =
    0.0397 - 0.0155i 0.0086 - 0.0183i
    0.0584 - 0.0483i -0.0191 + 0.1594i
    0.0481 - 0.0390i 0.0017 + 0.1107i
```

So, this is design, see problems 7.1-4

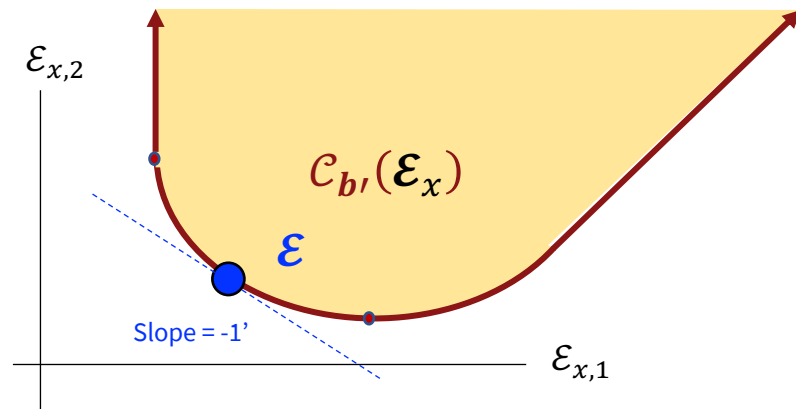
admMAC and admissibility

Section 5.4.5

Capacity region(s)



- $\mathcal{C}(b)$ contains all possible weighted **rate** sums $\sum_{u=1}^U \theta_u \cdot b_u$ that meet **energy-vector** constraint $\boldsymbol{\varepsilon} \preceq \boldsymbol{\varepsilon}_x$.
- The max-b-sum point is “highest” b in $\mathcal{C}(b)$.
- If $\boldsymbol{\varepsilon} \preceq \boldsymbol{\varepsilon}_x$, then b is **admissible**.
- If not, find minimum energy-sum to achieve b .



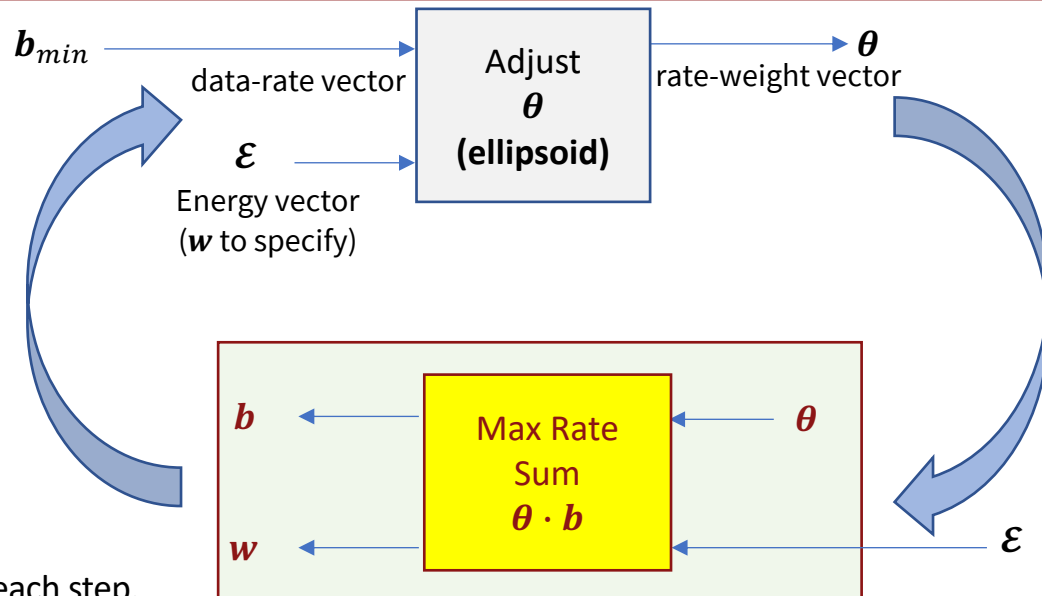
- $\mathcal{C}_{b'}(\boldsymbol{\varepsilon}_x)$ contains all possible weighted **energy** sums $\sum_{u=1}^U w_u \cdot \varepsilon_u$ that meet **rate-vector** constraint rate vector $\succeq b'$.
- The min-E-sum point is “lowest” $\boldsymbol{\varepsilon}$ in $\mathcal{C}_{b'}(\boldsymbol{\varepsilon})$.
- If $b' \succeq b$, then $\boldsymbol{\varepsilon}$ is **admissible**.

Admissible: meet both b and $\boldsymbol{\varepsilon}$ constraints



Using admMAC to find solution

- Specify the \mathbf{b}_{min} and \mathcal{E} .
- Find the weights \mathbf{w} and θ .
- When $\mathbf{b}_{min} \neq \mathbf{b}$, change θ .
- If there is a solution in $\mathcal{C}(\mathbf{b})$.
- This process finds it.
 - Both are convex methods that improve at each step.



$$\begin{aligned}
 & \min \quad 0 \\
 & \{R_{\mathbf{X}\mathbf{X}}(u,n)\} \\
 & ST : \quad 0 \leq \mathbf{b}_{min} \leq \sum_n [b_{1,n} \ b_{2,n} \ \dots \ b_{U,n}] \\
 & \quad 0 \leq \sum_n \text{trace} \{R_{\mathbf{X}\mathbf{X}}(u,n)\} \leq [\mathcal{E}_1 \ \mathcal{E}_2 \ \dots \ \mathcal{E}_U]^* = \mathcal{E}\mathbf{x} \\
 & \quad \mathbf{b}_n \in \mathcal{A}_n(\bar{H}_n, \{R_{\mathbf{X}\mathbf{X}}(u,n)\}_{u=1,\dots,U}) \ .
 \end{aligned}$$



admMAC program

```
function [FEAS_FLAG, bu_a, info] = admMAC(H, Lxu, bu, Eu, cb)
```

admMAC determines whether the target rate vector \mathbf{bu} is feasible for (noise-whitened) channel H and energy/symbol E_u via rate region

Inputs:

- H : L_y -by- L_x -by- N channel matrix. $H(:, :, n)$ denotes the channel for the n -th tone.
- L_xu : number of transmit antennas of each user. It can be either a scalar or a length- U vector. If it is a scalar, every user has L_xu transmit antennas; otherwise user u has $L_xu(u)$ transmit antennas.
- \mathbf{bu} : target rate of each user, length- U vector.
- E_u : Energy/symbol on each user, length- U vector.
- $cb=1$ cplex bb, $cb=2$ for real baseband

Outputs:

- $FEAS_FLAG$: indicator of achievability. $FEAS_FLAG=0$ if the target is not achievable; $FEAS_FLAG=1$ if the target is achievable by a single ordering; $FEAS_FLAG=2$ if the target is achievable by time-sharing
- $\mathbf{bu_a}$: U -by-1 vector showing achieved sum rate of each user.
- \mathbf{info} : various length output depending on $FEAS_FLAG$
 - if $FEAS_FLAG=0$: empty
 - if $FEAS_FLAG=1$: 1-by-5 cell array containing $\{R_{xxs}, E_{un}, \mathbf{bun}, \theta, w\}$ corresponds to the single vertex
 - if $FEAS_FLAG=2$: v -by-6 cell array, with each row representing a time-shared vertex $\{R_{xxs}, E_{un}, \mathbf{bun}, \theta, w, \text{frac}\}$

\mathbf{info} 's row entries in detail (one row for each vertex shared

- R_{xxs} : U -by- N cell array containing $R_{xx}(u, n)$'s if L_xu is a length- U vector; or L_xu -by- L_xu -by- U -by- N tensor if L_xu is a scalar. If the rate target is infeasible, output 0.
- E_{un} : U -by- N matrix showing users' transmit energy on each tone. If infeasible, output 0.
- \mathbf{bun} : U -by- N matrix showing users' rate on each tone. If infeasible, output 0.
- θ : U -by-1 Lagrangian multiplier w.r.t. target rates
- w : U -by-1 Lagrangian multiplier w.r.t. energy constraints
- \mathbf{order} : U -by-1 user order
- \mathbf{frac} : fraction of dimensions for each vertex in time share (FF=2 ONLY)

subroutines called (directly)

maxRMAC_cvx
maxRMACMIMO

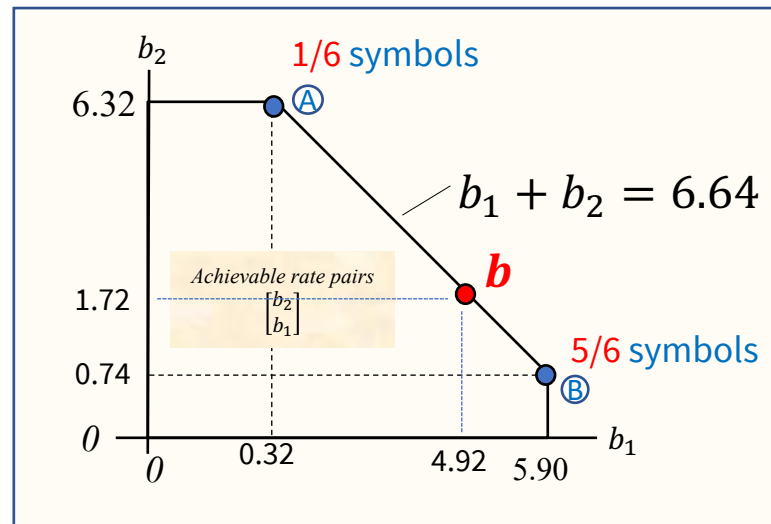
- Both energy and rate are inputs for MAC.
- $FEAS_FLAG = 0$ if not admissible.
- Info is similar to minPMAC.



Back to simple channel, pick pt on boundary

```
H = [80 60];
>> bu_min = [1.72;4.92];
>> Eu = [1;1];
Lxu=[1 1];
[FEAS_FLAG, bu_a, Rxxs, Eun, theta, w,
info] = admMAC(H, Lxu, bu_min, Eu, cb);
flag = 2
bu_a =
1.7210
4.9229
info = 2x8 table
bu_v      Rxxs  Eun  bun  theta  order  frac  cID
6.322  32189  {2x1} {1x2} {1x2} {1x2} {1x2} 0.17621  1
.73684 5.9071 {2x1} {1x2} {1x2} {1x2} {1x2} 0.82379  1
>>Rxxs % 2 x 1 cell array same both vertices
{[ 1]}
{[1.0000]}>> info.Eun{:,;}
> Eun = % same both vertices
1.0000
1.0000
>> theta =
0.9272
0.917
>> w =
0.5969
0.3302
```

```
>> info.bun{:,;} =
6.3220 0.3219 % top vertex
0.7368 5.9071 % bottom vertex
>> info.order{;} =
2 1
1 2
>> info.bu_v'*info.frac =
1.7210
4.9229 (vertex-sharing checks)
```



```
[FEAS_FLAG, bu_a, Rxxs, Eun, theta, w, info] =
admMAC(H, Lxu, bu_min+[1 ; 1], Eu, cb);
flag = 0
[FEAS_FLAG, bu_a, Rxxs, Eun, theta, w, info] =
admMAC(H, Lxu, bu_min+[1 ; 1], Eu, cb);
flag = 0
```

Confirms point is on boundary

- FEAS_FLAG = 2, vertex sharing
 - One vertex has equal thetas is enough

May 23, 2024

Section 5.4.5.1

L15: 31

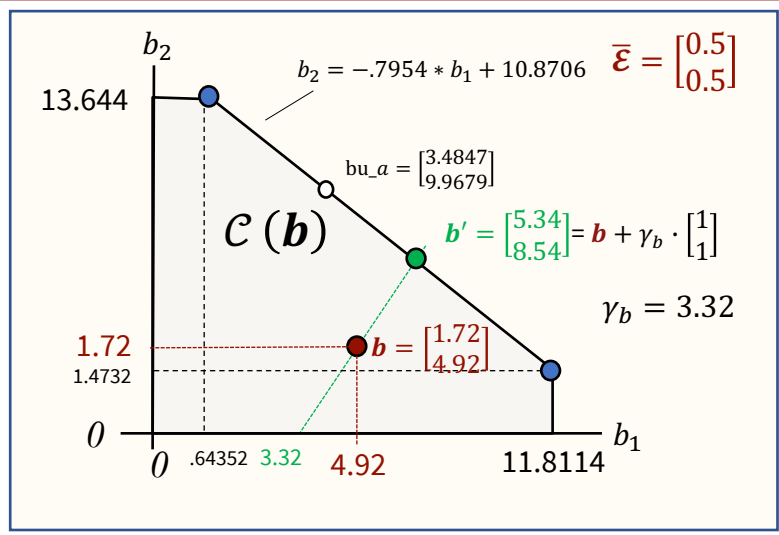
Stanford University

Complex channel, same rate pt – NOT on boundary

```
>> [FEAS_FLAG, bu_a, Rxxs, Eun, theta, w, info] =
admMAC(H, Lxu, bu_min, 2*Eu, 1);
flag = 2
>> bu_a =
3.4847
9.9679
>> info.bu_v = info.frac
1.4732 11.814 .83472
13.644 .64352 .16528
>> Eun =
2.0000
1.9989
```

■ FEAS_FLAG = 2, vertex sharing

```
>> info.order{:} =
1 2
2 1
>> info.bu_v =
1.4732 11.8142
13.6440 0.6435
>> slope=(info.bu_v(1,1)-info.bu_v(1,2))/...
(info.bu_v(2,1)-info.bu_v(2,2)) = -0.7954
>> int=info.bu_v(1,1)-slope*info.bu_v(1,2) =
10.8706
>> rsum=sum(bu_a)= 13.4526
>> gammab=(rsum-bu_min(2)-bu_min(1))/2 =
3.4063
```



$\gamma_b = 3.4$ or roughly 10.2 dB (complex so x 3 dB)

```
[FEAS_FLAG, bu_a, Rxxs, Eun, theta, w, info] = admMAC(H, Lxu, bu_min +4*[1; 1], 2*Eu, 1)
flag = 0
[FEAS_FLAG, bu_a, Rxxs, Eun, theta, w, info] = admMAC(H, Lxu, bu_min +3.4*[1; 1], 2*Eu, 1)
flag = 0
[FEAS_FLAG, bu_a, Rxxs, Eun, theta, w, info] = admMAC(H, Lxu, bu_min +3.3*[1; 1], 2*Eu, 1)
flag = 2
bu_a' = 5.2878 8.6585
sum(bu_a) = 13.9464
```

```
>> [Eun, theta, bun, FEAS_FLAG, bu_a,
info]=minPMAC(H, bu_min, [1 1], 1)
Eun =
0.0109 0.0081
theta =
0.0312 0.0385
bun =
1.7200 4.9200
FEAS_FLAG = 1
bu_a =
1.7200
4.9200
```

FEAS_FLAG = 1, single vertex
Lower sum energy
About 20 dB, so

Equal energy weight used here; others likely also will work.

Preferred Design



admMAC

- There can be multi-solution vertex-sharing when in the interior of $\mathcal{C}(\mathbf{b})$.
 - Slope (or hyperplane normal vector) is not necessarily = -1 ($\cdot \mathbf{1}$).
- minPMAC can use any \mathbf{w} , including all equal (so energy sum), but does not guarantee a point in $\mathcal{C}_{\mathbf{b}}(\mathcal{E})$.
- minPMAC may be preferred design method as it tends to produce larger margin.
 - Unless any user's energy is too large.
 - Then use admMAC, judiciously with the energies found – limiting any user energies that exceed allowed amounts.
- If admMAC does not work, use its \mathbf{w} in minPMAC, try again the cycle.
- If the first admMAC run does produces FEAS_FLAG = 0, at least one user data rate is too high.
- Could do similar cycle with maxRMAC, and use admMAC's $\boldsymbol{\theta}$ as admMAC input for the generated \mathbf{b} .



Two users, high pass and low pass

- A past 2-user MAC with memory (user 2 at $1+.9D$ and user 1 at $1-D$)

```
>> H=zeros(1,2,8);
>> H(1,1,:)=fft([1 .9],8);
>> H(1,2,:)=fft([1 -1],8);
>> H=(1/sqrt(.181))*H;
>> b=[1 ; 1];
>> energy=[8 ; 8];
```

```
[FEAS_FLAG, bu_a, Rxxs, Eun, theta, w, info] = admMAC(H,[1 1], 18*b,
(8/9)*energy,1)
```

```
FEAS_FLAG = 2
```

```
bu_a' = 19.6385 19.6385
```

```
info = 2x7 table
```

```
bu_v frac
```

```
21.698 17.579 0.5814
16.778 22.499 0.4186
```

```
>> buntop=info.bun{1, :, :}; bunbot=info.bun{2, :, :};
```

```
>> reshape(buntop,2,8) =
```

```
5.2089 4.9796 3.2601 0.0047 0.0000 0.0047 3.2601 4.9796
0 0.0001 1.0629 5.0737 5.3058 5.0737 1.0629 0.0001
```

```
>> reshape(bunbot,2,8) =
```

```
5.2089 4.9768 0.8077 0.0001 0.0000 0.0001 0.8077 4.9768
0 0.0028 3.5152 5.0783 5.3059 5.0783 3.5152 0.0028
```

```
>> Eun =
```

```
1.8043 1.7937 0.8580 0.0011 0.0006 0.0011 0.8580 1.7937
0.0005 0.0006 0.9443 1.7381 1.7447 1.7381 0.9443 0.0006
```

```
>> sum(Eun,2)' = 7.1105 7.1111
```

```
[Eun, theta, bun, FEAS_FLAG, bu_a, info]=minPMAC(H,18*b, [1 1]',1)
Eun =
1.3437 1.3352 0.3090 0.0000 0.0000 0.0000 0.3090 1.3352
0.0000 0.0000 0.9849 1.3020 1.3098 1.3020 0.9849 0.0000
theta = 2.7878
2.7100
bun =
4.7970 4.5693 2.0322 0.0000 0.0000 0.0000 2.0322 4.5693
0 0.0000 1.8721 4.6758 4.9043 4.6758 1.8721 0.0000
FEAS_FLAG = 1
bu_a = 18.0000
18.0000
>> sum(info.Eun{:, :, 2})' = 4.6321 5.8835
>> 64/9 = 7.1111
>> [Eun, theta, bun, FEAS_FLAG, bu_a, info]=minPMAC(H,18*b+[1 ;1], [1
1]',1)
sum(info.Eun{:, :, 2})' = 5.4922 7.1035
```

**Margin is 3dB
For b=[18 18]'**



64-tone Example (3 users)

```
>> [FEAS_FLAG, bu_a, Rxxs, Eun, theta, w, info] = admMAC(H64, [1 1 1], [410 390 210]', 64^2/67*[1 1 1], 1)
Elapsed time is 476.254513 seconds. flag = 2
bu_achieved = 410.3922 390.3730 210.2009
info = 3 x 8 table
    bu_v      Rxxs      Eun      bun      theta      order      frac      clusterID
    481.11    386.38    143.47 {1 x 64} {1 x 3x64} {1 x 3 x 64} {1 x 3} {1 x 3} 0.72759      1
    385.85    207.15    417.96 {1 x 64} {1 x 3x64} {1 x 3 x 64} {1 x 3} {1 x 3} 0.021875    1
    207.15    417.96    385.85 {1 x 64} {1 x 3x64} {1 x 3 x 64} {1 x 3} {1 x 3} 0.25054      1
>> info.bu_v*info.frac = 410.3922 390.3730 210.2009
>> sum(cell2mat(info.Eun),3) =
    61.1343    61.1343    61.1343
    61.1343    61.1343    61.1343
    61.1343    61.1343    61.1343
```

```
[Eun, theta, bun, FEAS_FLAG, bu_a, info]=minPMAC(H,[410 390 210]', [1 1 1], 1);
theta = 2.8865 2.8865 2.8739
FEAS_FLAG = 2
bu_a = 410.0000 390.0000 210.0002
theta = 2.9830 2.9830 2.9830
info = 2x6 table
    bu_v      Eun      bun      theta      frac      clusterID
    410.24    389.76    210 {1 x 3 x 64 double} {1 x 3 x 64 double} {1 x 3 double} 0.98818      1
    390.02    409.98    210 {1 x 3 x 64 double} {1 x 3 x 64 double} {1 x 3 double} 0.011823     1
>> Eun=info.Eun{1,:}; >> sum(Eun(1,:),3) = 59.5706 56.2791 66.2707
>>> [Eun, theta, bun, FEAS_FLAG, bu_a, info]=minPMAC(H,[410 390 210]', [1.03 1 1.08], 1) bu_a' = 410
390 210
info = 2x7 table
    bu_v      Eun      bun      theta      order      frac      clusterID
    410    394.28    205.72 {1 x 3 x 64} {1 x 3 x 64} {1 x 3} {1 x 3} 0.98208      1
    410    155.42    444.58 {1 x 3 x 64} {1 x 3 x 64} {1 x 3} {1 x 3} 0.017919     1
>> sum(Eun(1,:),3) = 60.9146 60.3152 61.0854 😊
```

- Design might ignore one of the vertices – do $\frac{3}{4}$ share, other 2.

- Solution is close to admMAC solution,
- and maybe just 1 vertex.



MAC Capacity Region

- Use admMAC in U-loop that gradually increases b_u 's (for all $u = 1, \dots, U$) until admMAC returns negative value and record the last rate pair (the last before that violation happens).
- This is the exterior of $\mathcal{C}_{MAC}(\mathbf{b})$.





End Lecture 15